

# FREE LATTICES OVER HALFLATTICES

JAROSLAV JEŽEK AND VÁCLAV SLAVÍK

**0. INTRODUCTION.** Although the word problem for free lattices is well known to be solvable (cf. Dean [1]), the question still remains open to characterize the finite partial lattices  $P$  for which the free lattice  $F(P)$  over  $P$  is finite.

There are partial answers to this question. In Wille [5] the problem is solved for the partial lattices  $P$  that are both meet- and join-trivial in the sense that whenever the meet  $xy$  or the join  $x + y$  of two elements  $x, y$  is defined in  $P$  then the elements are comparable. In [3] the problem is solved for join-trivial partial lattices. In the papers [2] and [4] free lattices over partial lattices from some other special classes are investigated.

In the present paper we shall be concerned with free lattices over halflattices. By a halflattice we mean a partial lattice  $P$  such that  $xy$  is defined for all pairs  $x, y \in P$  and  $x + y$  is defined whenever  $x, y$  are two elements with a common upper bound in  $P$ . It is easy to see that a partial lattice  $P$  is a halflattice iff there exists a lattice  $L$  containing  $P$  as a relative sublattice such that  $P$  is an order-ideal in  $L$  (i.e.,  $a \in P$  implies  $b \in P$  for all  $b \in L$  with  $b \leq a$ ); for a given  $P$  we can define  $L$  by  $L = P \cup \{1\}$  where 1 is the greatest element of  $L$ .

We shall not solve in this paper the problem for which halflattices  $P$  is the free lattice over  $P$  finite. However, we shall prove that  $F(L)$  can be finite under a very restrictive condition only. Namely, we prove that if  $F(P)$  is finite for a finite halflattice  $P$  then the set of the elements of  $F(P) - P$  that can be expressed as  $x + y$  for some  $x, y \in P$  is a chain of at most four elements. And we give an example showing that the number four is possible in this context.

For the terminology and notation see our paper [3]; here we shall only briefly recall the construction of the free lattice  $F(P)$  over a partial lattice  $P$ . The algebra of terms over  $P$  is denoted by  $T(P)$ . For every term  $t$  define an ideal  $\downarrow t$  and a filter  $\uparrow t$  of  $P$  by

$$\begin{aligned} \downarrow t &= \{a \in P; a \leq t\} \text{ and } \uparrow t = \{a \in P; a \geq t\} \text{ for } t \in P, \\ \downarrow t &= \downarrow t_1 \vee \downarrow t_2 \text{ and } \uparrow t = \uparrow t_1 \cap \uparrow t_2 \text{ for } t = t_1 + t_2, \\ \downarrow t &= \downarrow t_1 \cap \downarrow t_2 \text{ and } \uparrow t = \uparrow t_1 \vee \uparrow t_2 \text{ for } t = t_1 t_2. \end{aligned}$$

Define a binary relation  $\leq$  on  $T(P)$  as follows: if  $u \in P$  and  $v \in T(P)$  then  $u \leq v$  iff  $u \in \downarrow v$ ; if  $u \in T(P)$  and  $v \in P$  then  $u \leq v$  iff  $v \in \uparrow u$ ; if  $u = u_1 + u_2$  then  $u \leq v$  iff  $u_1 \leq v$  and  $u_2 \leq v$ ; if  $v = v_1 v_2$  then  $u \leq v$  iff  $u \leq v_1$  and  $u \leq v_2$ ; if  $u = u_1 u_2$  and  $v = v_1 + v_2$  then  $u \leq v$  iff either  $u \leq v_1$  or  $u \leq v_2$  or  $u_1 \leq v$  or  $u_2 \leq v$  or  $u \leq a \leq v$  for an element  $a \in P$ . Then  $\leq$  is a quasiordering and the relation  $\sim$  on  $T(P)$  defined by  $u \sim v$  iff  $u \leq v$  and  $v \leq u$  is a congruence. The free lattice over  $P$  is isomorphic to  $T(P)/\sim$ .

**1. GENERAL PARTIAL LATTICES.** Let  $P$  be a partial lattice and  $a, b, c, d$  be elements of  $P$  such that

- (1)  $a \parallel c, a \parallel d, b \parallel c$ ;
- (2) either  $b = d$  or else  $b < a$  and  $d < c$ .

Define elements  $t_0, t_1, t_2, \dots$  of  $P$  as follows:

$$\begin{aligned} t_0 &= a + d; \\ t_i &= b + ct_{i-1} \text{ for } i \text{ odd}; \\ t_i &= d + at_{i-1} \text{ for } i \geq 2 \text{ even}. \end{aligned}$$

We have  $a + b = t_0 \geq t_1 \geq t_2 \geq \dots \geq b, d$ .

**1.1. Lemma.** *Let  $i \geq 0$  be such that  $t_i = t_{i+1}$ . Then  $t_{i+1} = t_{i+2}$ .*

**Proof:** If  $i = 0$  then  $t_2 = d + at_1 = d + at_0 = d + a = t_0$ . If  $i \geq 2$  is even then  $t_{i+2} = d + at_{i+1} = d + at_i = d + at_{i-1} = t_i$ . If  $i$  is odd then  $t_{i+2} = b + ct_{i+1} = b + ct_i = b + ct_{i-1} = t_i$ .  $\square$

**1.2. Lemma.** *Let  $i \geq 0$  be such that  $\uparrow t_i = \uparrow t_{i+1}$ . Then  $\uparrow t_{i+1} = \uparrow t_{i+2}$ .*

**Proof:** Suppose, on the contrary, that there exists an element  $x \in P$  with  $x \geq t_{i+2}$  and  $x \not\geq t_{i+1}$ .

Let  $i = 0$ . We have  $x \geq t_2 = d + at_1$ , so that  $x \geq d$  and  $x \geq at_1$ . We have  $x \in \uparrow a \vee \uparrow t_1 = \uparrow a \vee \uparrow t_0 = \uparrow a$ , so that  $x \geq a$  and consequently  $x \geq a + d = t_0 \geq t_1$ , a contradiction.

Let  $i$  be odd. We have  $x \geq t_{i+2} = b + ct_{i+1}$ , so that  $x \geq b$  and  $x \geq ct_{i+1}$ . We have  $x \in \uparrow c \vee \uparrow t_{i+1} = \uparrow c \vee \uparrow t_i = \uparrow(ct_i)$ . Hence  $x \geq ct_i = ct_{i-1}$  and so  $x \geq b + ct_{i-1} = t_i \geq t_{i+1}$ , a contradiction.

Let  $i \geq 2$  be even. We have  $x \geq t_{i+2} = d + at_{i+1}$ , so that  $x \geq d$  and  $x \geq at_{i+1}$ . We have  $x \in \uparrow a \vee \uparrow t_{i+1} = \uparrow a \vee \uparrow t_i = \uparrow(at_i)$ . Hence  $x \geq at_i = at_{i-1}$  and so  $x \geq d + at_{i-1} = t_i \geq t_{i+1}$ , a contradiction.  $\square$

**1.3. Lemma.** *Let  $i \geq 0$  be such that  $\uparrow t_i = \uparrow t_{i+1}$  and  $t_{i+1} > t_{i+2}$ . Then  $t_{i+2} > t_{i+3}$ .*

**Proof:** By 1.1 we have  $t_0 > t_1 > \dots > t_{i+2}$  and by 1.2 we have  $\uparrow t_i = \uparrow t_{i+1} = \uparrow t_{i+2} = \dots$ .

Let us prove  $a \not\leq t_1$ . If  $a \leq t_1$  then  $t_2 = d + at_1 = d + a = t_0$ , a contradiction.

Let us prove  $c \not\leq t_2$ . If  $c \leq t_2$  then  $t_2 \geq b + c \geq t_1$ , a contradiction.

Suppose  $t_{i+2} = t_{i+3}$ .

Let  $i$  be even. Then we have  $at_{i+1} \leq t_{i+3} = b + ct_{i+2}$ . There are five cases.

Case 1:  $a \leq t_{i+3}$ . Then  $a \leq t_1$ , a contradiction.

Case 2:  $t_{i+1} \leq t_{i+3}$ . Then  $t_{i+1} \leq t_{i+2}$ , a contradiction.

Case 3:  $at_{i+1} \leq b$ . Then  $b \in \uparrow a \vee \uparrow t_{i+1} = \uparrow a \vee \uparrow t_i$  and so  $b \geq at_i = at_{i-1}$ . If  $i = 0$  then we get  $b \geq a$ , a contradiction. If  $i > 0$  and  $b = d$  then  $b \geq b + at_{i-1} = t_i$ , so that  $t_i = t_{i+1}$ , a contradiction. If  $i > 0$  and  $b < a$  and  $d < c$  then  $t_{i+1} = b + ct_i \geq at_{i-1} + d = t_i$ , a contradiction.

Case 4:  $at_{i+1} \leq ct_{i+2}$ . Then  $at_{i+1} \leq c$ ,  $c \in \uparrow a \vee \uparrow t_{i+1} = \uparrow a \vee \uparrow t_i$ ,  $c \geq at_i$ . If  $i = 0$ , we get  $c \geq a$ , a contradiction. If  $i > 0$  then we get  $ct_i \geq at_i = at_{i-1}$ ,  $t_{i+1} = b + ct_i \geq ct_i \geq at_{i-1}$ ,  $t_{i+1} \geq d + at_{i-1} = t_i$ , a contradiction.

Case 5:  $at_{i+1} \leq x \leq t_{i+3}$  for some  $x \in P$ . We have  $x \in \uparrow a \vee \uparrow t_{i+1} = \uparrow a \vee \uparrow t_i$ , so that  $x \geq at_i$ . If  $i = 0$ , we get  $a \leq x \leq t_3 \leq t_1$ , a contradiction. If  $i > 0$  then  $x \geq at_i = at_{i-1}$ , so that  $t_{i+3} \geq d + at_{i-1} = t_i$ , a contradiction.

Let  $i$  be odd. Then we have  $ct_{i+1} \leq t_{i+3} = d + at_{i+2}$ . There are five cases.

Case 1:  $c \leq t_{i+3}$ . Then  $c \leq t_2$ , a contradiction.

Case 2:  $t_{i+1} \leq t_{i+3}$ . Then  $t_{i+1} \leq t_{i+2}$ , a contradiction.

Case 3:  $ct_{i+1} \leq d$ . Then  $d \in \uparrow c \vee \uparrow t_{i+1} = \uparrow c \vee \uparrow t_i$  and so  $d \geq ct_i = ct_{i-1}$ . If  $b = d$  then  $d \geq b + ct_{i-1} = t_i$ , so that  $t_i = t_{i+1}$ , a contradiction. If  $b < a$  and  $d < c$  then  $t_{i+1} = d + at_i \geq ct_{i-1} + b = t_i$ , a contradiction.

Case 4:  $ct_{i+1} \leq at_{i+2}$ . Then  $ct_{i+1} \leq a$ ,  $a \in \uparrow c \vee \uparrow t_{i+1} = \uparrow c \vee \uparrow t_i$ ,  $a \geq ct_i$ ,  $at_i \geq ct_i = ct_{i-1}$ ,  $t_{i+1} = d + at_i \geq at_i \geq ct_{i-1}$ ,  $t_{i+1} \geq b + ct_{i-1} = t_i$ , a contradiction.

Case 5:  $ct_{i+1} \leq x \leq t_{i+3}$  for some  $x \in P$ . We have  $x \in \uparrow c \vee \uparrow t_{i+1} = \uparrow c \vee \uparrow t_i$ , so that  $x \geq ct_i = ct_{i-1}$  and  $t_{i+3} \geq ct_{i-1}$ ; hence  $t_{i+3} \geq b + ct_{i-1} = t_i$ , a contradiction.  $\square$

**1.4. Lemma.** *Let  $i \geq 0$  be such that  $\uparrow t_i = \uparrow t_{i+1}$  and  $t_{i+1} > t_{i+2}$ . Then  $F(P)$  is infinite.*

**Proof:** It follows easily from 1.2 and 1.3.  $\square$

## 2. HALFLATTICES: TWO INCOMPARABLE UNDEFINED JOINS.

**2.1. Lemma.** *Let  $P$  be a finite halflattice and  $a, b, c, d$  be four elements of  $P$  such that the following four conditions are satisfied:*

- (1)  $a \parallel c$ ,  $a \parallel d$ ,  $b \parallel c$ ;

- (2) either  $b = d$  or else  $b < a$  and  $d < c$ ;
- (3)  $a + d \notin P$  and  $b + c \notin P$ ;
- (4)  $a \not\leq b + c$  and  $c \not\leq a + d$ .

Then  $F(P)$  is infinite.

**Proof:** Define the elements  $t_i$  as in Section 1, so that  $t_0 = a + d$ ,  $t_1 = b + ct_0$  and  $t_2 = d + at_1$ . If  $t_0 \leq t_1$  then  $a \leq a + d \leq b + c(a + d) \leq b + c$ , a contradiction. We get  $t_0 > t_1$ . Since  $\uparrow t_1 = \uparrow b \cap (\uparrow c \vee \uparrow(a + d)) = \uparrow b \cap (\uparrow c \vee \emptyset) = \uparrow b \cap \uparrow c = \emptyset$ , by 1.4 it is sufficient to prove  $t_1 > t_2$ . Suppose  $t_1 \leq t_2$ . Then  $ct_0 \leq d + at_1$  and there are five possible cases.

Case 1:  $c \leq t_2$ . Then  $c \leq a + d$ , a contradiction.

Case 2:  $t_0 \leq t_2$ . Then  $t_0 \leq t_1$ , a contradiction.

Case 3:  $ct_0 \leq d$ . Then  $d \in \uparrow c \vee \uparrow t_0 = \uparrow c \vee \emptyset = \uparrow c$ , so that  $d \geq c$ , a contradiction.

Case 4:  $ct_0 \leq at_1$ . Then  $ct_0 \leq a$ ; as in Case 3, we get  $a \geq c$ , a contradiction.

Case 5:  $ct_0 \leq x \leq t_2$  for some  $x \in P$ . Then  $x \in \uparrow c \vee \uparrow t_0 = \uparrow c$ ,  $c \leq x \leq t_2 \leq a + d$ , a contradiction.

We get a contradiction in all cases.  $\square$

**2.2. Lemma.** Let  $P$  be a finite half lattice and  $a, b, c \in P$  be such that  $a + b \notin P$ ,  $b + c \notin P$  and  $a + b \parallel b + c$ . Then  $F(P)$  is infinite.

**Proof:** It follows from 2.1.  $\square$

**2.3. Lemma.** Let  $P$  be a finite half lattice and  $a, b, c, d \in P$  be such that

- (1)  $a + b \notin P$ ,  $c + d \notin P$ ,  $a + b \parallel c + d$ ;
- (2)  $b < c$ ;
- (3)  $b + d \notin P$ .

Then  $F(P)$  is infinite.

**Proof:** If  $d < a$  then we can apply 2.1 to the quadruple  $a, d, c, b$ . So, we can suppose that the elements  $a, c, d$  are pairwise incomparable. If  $d \not\leq a + c$  then we can apply 2.2 to the triple  $a, c, d$ ; so, let  $d \leq a + c$ . If  $d \not\leq a + b$  then we can apply 2.2 to the triple  $a, b, d$ ; so, let  $d \leq a + b$ . If  $a + d \notin P$  then we can apply 2.2 to the triple  $a, d, c$ ; so, let  $a + d \in P$ . Now we can apply 2.1 to the quadruple  $c, b, a + d, d$ .  $\square$

**2.4. Lemma.** Let  $P$  be a finite half lattice and  $a, b, c, d \in P$  be such that

- (1)  $a + b \notin P$ ,  $c + d \notin P$ ,  $a + b \parallel c + d$ ;
- (2)  $b \leq cd$ ;
- (3) whenever  $x \in P$  and  $x \leq (a + b)c$  then  $x \leq b$ ;
- (4) whenever  $x \in P$  and  $x \leq (a + b)d$  then  $x \leq b$ .

Then  $F(P)$  is infinite.

**Proof:** Consider the three pairwise incomparable elements  $a, (a + b)c, (a + b)d$  of the relative sublattice  $Q = P \cup \{a + b, (a + b)c, (a + b)d\}$  of  $F(P)$ . Put  $t_0 = a + (a + b)c = a + b$ ,  $t_1 = (a + b)d + (a + b)c$ ,  $t_2 = t_1a + (a + b)c$ . In  $Q$  we have  $\uparrow t_0 = \uparrow t_1 = \{a + b\}$ , so that by 1.4 it is sufficient to prove  $t_0 > t_1 > t_2$ .

If  $t_0 \leq t_1$  then  $a \leq (a + b)d + (a + b)c$ , so that in  $P$  we have  $a \in \downarrow(a + b)d \vee \downarrow(a + b)c = \downarrow b \vee \downarrow b = \downarrow b$ ; but  $a \leq b$  is impossible. We get  $t_0 > t_1$ .

Suppose  $t_1 \leq t_2$ . Then  $(a + b)d \leq t_1a + (a + b)c$  and we have five possible cases.

Case 1:  $(a + b)d \leq t_1a$ . Then  $b \leq (a + b)d \leq a$ , a contradiction.

Case 2:  $(a + b)d \leq (a + b)c$ . This is impossible.

Case 3:  $a + b \leq t_2$ . Then  $a \leq t_2 \leq t_1$ ,  $t_0 \leq t_1$ , a contradiction.

Case 4:  $d \leq t_2$ . Then  $d \leq a + b$ , so that  $d \leq b$  by (4) and consequently  $d \leq c$ , a contradiction.

Case 5:  $(a + b)d \leq x \leq t_2$  for some  $x \in P$ . Then  $x \in \uparrow(a + b) \vee \uparrow d = \uparrow d$ ,  $d \leq t_2 \leq a + b$ , a contradiction.  $\square$

**2.5. Lemma.** Let  $P$  be a finite half lattice and  $a, b, c, d \in P$  be such that

- (1)  $a + b \notin P$ ,  $c + d \notin P$ ,  $a + b \parallel c + d$ ;
- (2)  $a, b, c, d$  are not pairwise incomparable.

Then  $F(P)$  is infinite.

**Proof:** We can suppose that  $a, b, c, d$  is a maximal quadruple with respect to these two properties. Further, we can suppose that  $b < c$ . By 2.3 we can assume that  $b + d \in P$ . Consider the quadruple  $a, b, c, b + d$ ; by the maximality of  $a, b, c, d$  we get  $b + d = d$  and hence  $b \leq cd$ . Let  $x \in P$  and  $x \leq (a + b)c$ . Then the element  $y = x + b$  belongs to  $P$  (since  $x, b \leq c$ ) and  $b \leq y \leq (a + b)c$ . If  $y > b$  then we can take the quadruple  $a, y, c, d$ ; by the maximality of  $a, b, c, d$  we get  $y = b$ . But then  $y \leq b$  and the condition (3) of 2.4 is satisfied. Similarly one can prove that the condition (4) of 2.4 is satisfied. By 2.4 we obtain that  $F(P)$  is infinite.  $\square$

**2.6. Lemma.** Let  $P$  be a finite halflattic and  $a, b, c, d \in P$  be such that

- (1)  $a + b \notin P, c + d \notin P, a + b \parallel c + d$ ;
- (2)  $a \not\leq c + d, c \not\leq a + b$ ;
- (3)  $b + c \notin P$ .

Then  $F(P)$  is infinite.

**Proof:** Consider the three elements  $a(c + d), b(c + d)$  and  $c$  of the relative sublattice  $Q = P \cup \{c + d, a(c + d), b(c + d)\}$  of  $F(P)$ . Put  $t_0 = a(c + d) + b(c + d), t_1 = t_0c + b(c + d), t_2 = t_1a(c + d) + b(c + d) = t_1a + b(c + d)$ . In  $Q$  we have  $\uparrow t_0 = \uparrow t_1 = \{c + d\}$  and so by 1.4 it is sufficient to prove  $t_0 > t_1 > t_2$ . If  $t_0 \leq t_1$  then  $a(c + d) \leq t_0c + b(c + d)$ ; in each of the five possible cases we get easily a contradiction. Similarly, we cannot have  $t_1 \leq t_2$ .  $\square$

**2.7. Lemma.** Let  $P$  be a finite halflattic and  $a, b, c, d \in P$  be such that

- (1)  $a + b \notin P, c + d \notin P, a + b \parallel c + d$ .

Then  $F(P)$  is infinite.

**Proof:** Let  $a, b, c, d$  be a maximal quadruple with the property (1). By 2.5 we can assume that  $a, b, c, d$  are pairwise incomparable. Since  $a + b \parallel c + d$ , we can suppose that  $a \not\leq c + d$  and  $c \not\leq a + b$ . By 2.6 it is sufficient to consider the case when  $b + c \in P$ . If  $b \leq c + d$  then  $a, b, b + c, d$  is a quadruple contradicting the maximality of  $a, b, c, d$ ; hence  $b \not\leq c + d$ .

Let there exist an element  $x \in P$  such that  $x \leq (a + b)(c + d), x \not\leq b$  and  $x \not\leq c$ . If  $x + b \in P$  then the quadruple  $a, x + b, c, d$  contradicts the maximality of  $a, b, c, d$ . Hence  $x + b \notin P$  and similarly  $x + c \notin P$ . Using  $b \not\leq c + d$  and  $c \not\leq a + b$  we get  $x + b \parallel x + c$ ; by 2.2,  $F(P)$  is infinite. So, we can assume that whenever  $x$  is an element of  $P$  such that  $x \leq (a + b)(c + d)$  then either  $x \leq b$  or  $x \leq c$ .

If  $a \leq (a + b)(c + d) + b$  then  $a \in \downarrow(a + b)(c + d) \vee \downarrow b \subseteq (\downarrow b \vee \downarrow c) \vee \downarrow b = \downarrow b \vee \downarrow c = \downarrow(b + c)$ , so that  $a \leq b + c$  and the elements  $a, b$  have a common upper bound  $b + c$  in  $P$ , a contradiction. We get  $a \not\leq (a + b)(c + d) + b$ .

Consider the elements  $a, b$  and  $c + d$  of the relative sublattice  $Q = P \cup \{c + d\}$  of  $F(P)$ . Put  $t_0 = a + b, t_1 = (a + b)(c + d) + b$  and  $t_2 = t_1a + b$ . We have  $\uparrow t_0 = \uparrow t_1 = \emptyset$  in  $Q$ , so that by 1.4 it is sufficient to prove  $t_0 > t_1 > t_2$ . As we have proved,  $a \not\leq t_1$  and so  $t_0 \not\leq t_1$ . If  $t_1 \leq t_2$  then  $(a + b)(c + d) \leq t_1a + b$ ; in each of the five possible cases we get easily a contradiction; hence  $t_1 > t_2$ .  $\square$

**3. HALFLATTICES: A CHAIN OF FIVE UNDEFINED JOINS.** For a finite halflattic  $P$  we denote by  $UJ(P)$  the set of the elements  $u \in F(P) - P$  such that  $u = x + y$  for some  $x, y \in P$ .

For  $u \in F(P)$  and  $a \in P$  denote by  $u \odot a$  the greatest element  $x \in P$  with the properties  $x \leq u$  and  $x \leq a$  (its existence is clear).

**3.1. Lemma.** Let  $P$  be a finite halflattic such that  $F(P)$  is finite. Let  $p, q$  be two elements of  $UJ(P)$  with  $p < q$  and let  $a, b, c$  be three elements of  $P$  with  $q = a + b$  and  $p = b + c$ . Then  $b + (p \odot a) = p$ .

**Proof:** Put  $d = p \odot a$ . If  $c \leq a$  then  $b + d = p$  is clear. Consider the opposite case; then  $a, b, c$  are pairwise incomparable. Put

$$\begin{aligned} t_0 &= p = b + c, \\ t_i &= t_{i-1}a + b \text{ for } i \text{ odd,} \\ t_i &= t_{i-1}c + b \text{ for } i \geq 2 \text{ even.} \end{aligned}$$

We have  $\uparrow t_i = \emptyset$  for all  $i$ .

Let us prove that if  $t_0 > t_1$  then  $t_1 > t_2$ . If  $t_1 \leq t_2$  then  $pa \leq t_1c + b$  and there are only five cases possible.

Case 1:  $pa \leq t_1c$ . Then  $pa \leq c$  and  $c \in \uparrow(pa) = \uparrow a$ , a contradiction.

Case 2:  $pa \leq b$ . Then  $b \in \uparrow(pa) = \uparrow a$ , a contradiction.

Case 3:  $p \leq t_2$ . Then  $t_0 \leq t_1$ , a contradiction.

Case 4:  $a \leq t_2$ . Then  $a \leq p$ , a contradiction.

Case 5:  $pa \leq x \leq t_2$  for some  $x \in P$ . Then  $x \in \uparrow(pa) = \uparrow a$  and  $a \leq x \leq t_2 \leq p$ , a contradiction.

It follows from 1.4 that  $t_0 = t_1$ . Hence  $c \leq pa + b$ . From this we get  $c \in \downarrow(pa) \vee \downarrow b = \downarrow d \vee \downarrow b$ , so that  $c \leq b + d$ ; but then  $b + d = p$ .  $\square$

**3.2. Lemma.** *Let  $P$  be a finite halflattice such that  $F(P)$  is finite. Let  $p, q, r$  be three elements of  $UJ(P)$  such that  $p < q < r$  and let  $a, b, c$  be three elements of  $P$  such that  $r = a + b$  and  $p = b + c$ . Then  $b + (q \odot a) = q$ .*

**Proof:** Put  $d = q \odot a$ . By 3.1 we can suppose that  $c < a$ ; then  $c \leq d$ . By 2.7,  $UJ(P)$  is a finite chain. Denote by  $q_0$  the predecessor of  $q$  in this chain. Since  $q \in UJ(P)$ , there exists an element  $e \in P$  with  $e < q$  and  $e \not\leq q_0$ ; let us take a maximal element  $e$  with these properties. If  $b \not\leq e$  then  $b + e = q$  and  $b + d = q$  follows from 3.1. So, let  $b < e$ . We have  $c \not\leq e$  (since  $b, c$  have no upper bound in  $P$ ) and  $q = c + e$ .

Consider the quadruple  $e, b, a, c$ . Put

$$t_0 = q = e + c,$$

$$t_i = t_{i-1}a + b \text{ for } i \text{ odd,}$$

$$t_i = t_{i-1}e + c \text{ for } i \geq 2 \text{ even.}$$

We have  $\uparrow t_i = \emptyset$  for all  $i$ .

Let us prove that if  $t_0 > t_1$  then  $t_1 > t_2$ . If  $t_1 \leq t_2$  then  $qa \leq t_1e + c$  and one of the following five cases must take place.

Case 1:  $qa \leq t_1e$ . Then  $qa \leq e$  and  $e \in \uparrow(qa) = \uparrow a$ , a contradiction.

Case 2:  $qa \leq c$ . Then  $c \in \uparrow(qa) = \uparrow a$ , a contradiction.

Case 3:  $q \leq t_2$ . Then  $t_0 \leq t_1$ , a contradiction.

Case 4:  $a \leq t_2$ . Then  $a \leq q$ , a contradiction.

Case 5:  $qa \leq x \leq t_2$  for some  $x \in P$ . Then  $a \leq x \leq t_2 \leq q$ , a contradiction.

By 1.4 we have proved  $t_0 = t_1$ , so that  $e \leq qa + b$ . We get  $e \in \downarrow(qa) \vee \downarrow b = \downarrow d \vee \downarrow b$ ,  $e \leq b + d$  and consequently  $b + d = q$ .  $\square$

**3.3. Lemma.** *Let  $P$  be a finite halflattice. If there exist three elements  $u, v, w$  of  $UJ(P)$  with  $u < v < w$  and three elements  $a, b, c$  of  $P$  with  $a < b < c$ ,  $a < w$ ,  $a \not\leq v$  and  $b \not\leq w$  then  $F(P)$  is infinite.*

**Proof:** There are two elements  $x, y \in P$  with  $u = x + y$ . If  $av \leq u = x + y$  then there are only five cases possible and we get a contradiction in each of them. Hence  $av \not\leq u$ . Put

$$t_0 = av,$$

$$t_i = (t_{i-1} + cu)b \text{ for } i \text{ odd,}$$

$$t_i = (t_{i-1} + a)v \text{ for } i \geq 2 \text{ even.}$$

We have  $t_i \leq bv$  for all  $i$  and  $t_0 \leq t_1 \leq t_2 \leq \dots$ ; further,  $\uparrow t_0 = \uparrow a$  and  $\uparrow t_i = b$  for  $i \geq 1$ .

If  $t_1 \leq t_0$  then  $t_1 \leq a$ , a contradiction. We get  $t_0 < t_1$ . Now, we can prove  $t_i < t_{i+1}$  by induction for all  $i$ . If  $i$  is even and  $t_{i+1} \leq t_i$  then  $(t_i + cu)b \leq t_{i-1} + a$  and we are in one of the following five cases.

Case 1:  $t_{i+1} \leq t_{i-1}$ . Then  $t_i \leq t_{i-1}$ , a contradiction by induction.

Case 2:  $t_{i+1} \leq a$ . Then  $a \in \uparrow b$ , a contradiction.

Case 3:  $t_i + cu \leq t_{i-1} + a$ . Then  $cu \leq t_{i-1} + a \leq b$ , so that  $b \in \uparrow(cu) = \uparrow c$ , a contradiction.

Case 4:  $b \leq t_{i-1} + a$ . Then  $b \leq w$ , a contradiction.

Case 5:  $t_{i+1} \leq x \leq t_{i-1} + a$  for some  $x \in P$ . Then  $b \leq x \leq w$ , a contradiction.

If  $i \geq 3$  is odd and  $t_{i+1} \leq t_i$  then  $(t_i + a)v \leq t_{i-1} + cu$  and the five cases are:

Case 1:  $t_{i+1} \leq t_{i-1}$ . Then  $t_i \leq t_{i-1}$ , a contradiction by induction.

Case 2:  $t_{i+1} \leq cu$ . Then  $av = t_0 \leq cu \leq u$ , but we have proved  $av \not\leq u$  above.

Case 3:  $t_i + a \leq t_{i-1} + cu$ . Then  $a \leq t_{i-1} + cu \leq v$ , a contradiction.

Case 4:  $v \leq t_{i-1} + cu$ . Then  $v \leq c$ , a contradiction with  $v \in UJ(P)$ .

Case 5:  $t_{i+1} \leq x \leq t_{i-1} + cu$  for some  $x \in P$ . Then  $b \leq x \leq w$ , a contradiction.  $\square$

**3.4. Lemma.** *Let  $P$  be a finite halflattice. If  $UJ(P)$  is a chain of at least five elements then  $F(P)$  is infinite.*

**Proof:** Let  $u < v < w < r < s$  be the first five elements of  $UJ(P)$ . We have  $u = x + y$  for some  $x, y \in P$ . Since  $s \in UJ(P)$ , there exists an element  $c \in P$  with  $c < s$  and  $c \not\leq r$ ; we can assume that  $c$  is maximal with these properties. Since  $c$  cannot be an upper bound of both  $x$  and  $y$ , we can assume that  $x \not\leq c$ ; then  $s = c + x$ . Two applications of 3.2 yield the existence of two elements  $b$  and  $a$  in  $P$  such that  $b < c$ ,  $r = x + b$ ,  $a < b$ ,  $w = x + a$ . The assumptions of 3.3 are evidently satisfied, so that  $F(P)$  is infinite.  $\square$

**4. THE MAIN RESULTS.** The following is a consequence of lemmas 2.7 and 3.4:

**4.1. THEOREM.** *Let  $P$  be a finite halflattice. If the free lattice  $F(P)$  over  $P$  is finite then the set  $UJ(P)$  of the elements  $u \in F(P) - P$  that are of the form  $u = x + y$  for some  $x, y \in P$  is an at most four-element chain.*  $\square$

**4.2. Example.** There exist finite halflattices  $P$  such that  $UJ(P)$  is a chain of exactly four elements. In figures 1 and 2 we present two such examples. In the first of them,  $P$  and  $F(P)$  are of cardinalities 8 and 29, respectively, and in the second example they are of cardinalities 25 and 58. In both cases full dots represent the elements of  $P$ , while blank dots stand for the elements of  $F(P) - P$ ; it is a mechanical task to verify that the pictured lattice is free over the subset consisting of the full dots.

**4.3. Example.** If  $P$  is a finite halflattice such that  $UJ(P)$  consists of one element only then  $F(P) = P \cup UJ(P)$  is finite. On the other hand, there exist finite halflattices  $P$  such that  $UJ(P)$  is a two-element chain and  $F(P)$  is infinite. For example, the fourteen-element halflattice obtained from the sixteen-element Boolean algebra by omitting the greatest element and one of the coatoms has this property.

#### REFERENCES

- [1] R. A. Dean: Free lattices generated by partially ordered sets and preserving bounds. *Canad. J. Math.* 16, 1964, 136-148.
- [2] F. S. Ibrahim: Untersuchungen zur freien Erzeugung von Verbänden. Dissertation, D17, Darmstadt 1981.
- [3] J. Ježek, V. Slavík: Free lattices over join-trivial partial lattices. (To appear in *Algebra Universalis*)
- [4] I. Lienkamp: Freie Verbände über Amalgamen von Verbänden. *Mitteilungen aus dem Mathem. Seminar Giessen*, Heft 161, Giessen 1984.
- [5] R. Wille: On lattices freely generated by finite partially ordered sets. *Colloquia Math. Soc. János Bolyai* 17. Contributions to Universal Algebra, Szeged (Hungary), 1975, 581-593.

MFF UK, SOKOLOVSKÁ 83, 18600 PRAHA 8

VŠZ, KATEDRA MATEMATIKY, 16021 PRAHA 6

